

# Hardness of Approximation

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# Approximation

## It's in our nature

- Grades for students
- Decisions about accepting a paper
- Medical decisions
- Political & economic decisions

## It's frustrating

- Because of the **gap**

## It's sometimes (NP-)hard, so

- We shouldn't be blamed for this gap
- We should understand which instances are easy, and which are hard

# Approximation

- Throughout 70's-80's: many optimization problems discovered to be NP-hard
- Natural to seek approximate solutions. (Almost no known lower bounds)

## OPTIMIZATION

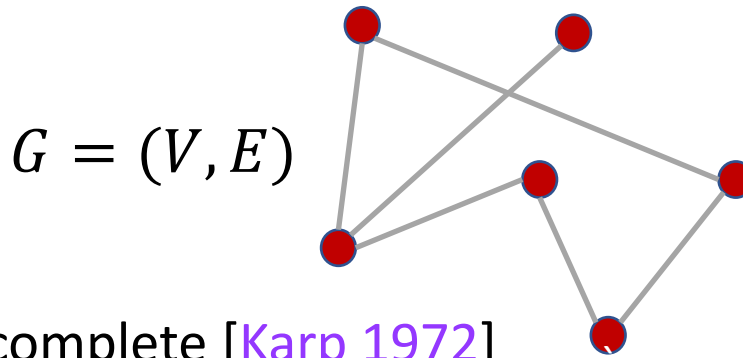
- **Max-LINEQ**: satisfy the largest number of linear equations.
- **Max-3-col**: color the vertices with 3 colors, maximizing number of two-colored edges.
- **Max-3SAT**: assign Boolean variables in a 3CNF formula, maximizing number of satisfied clauses.
- All of these problems are NP-hard (yes, even Max-LINEQ!)

## APPROXIMATION

- satisfy at least  $\alpha \cdot OPT$  of the equations.
- satisfy at least  $\alpha \cdot OPT$  of the edge constraints.
- satisfy at least  $\alpha \cdot OPT$  of the clauses.
- Complexity depends on the problem, and on  $\alpha$

# NP hard problem: 3-COLORABILITY

A graph  $G=(V,E)$  is in **3-COLORABILITY** if there a vertex-coloring with 3 colors, where every edge sees different colors



Theorem 1: **3-COLORABILITY** is NP-complete [Karp 1972]

Approximate? We can aim to approximate  $OPT(G)$  = maximal fraction of edges sat are satisfied by a 3-coloring.

An  $(1 - \epsilon)$  – approximation algorithm outputs a value  $\alpha$

$$(1 - \epsilon) \cdot OPT(G) \leq \alpha \leq OPT(G)$$

Theorem 2: **3-COLORABILITY** is NP-hard to approximate (i.e. an approximation algorithm implies  $P=NP$ )

[PCP theorem, Arora-Safra, Arora-Lund-Motwani-Sudan-Szegedy 1991]

# PCP theorem

Theorem:

There is a poly-time algorithm  $R$  converting  $G$  to  $H$ , such that

$G$  is 3-colorable  $\rightarrow H$  is 3-colorable

$G$  is not 3-colorable  $\rightarrow H$  has value  $< 1 - \epsilon$

(every coloring fails at least  $\epsilon$  edges)

**Corollary:** This rules out  $1 - \epsilon$  approximation for 3-COLORABILITY

**Proof:**

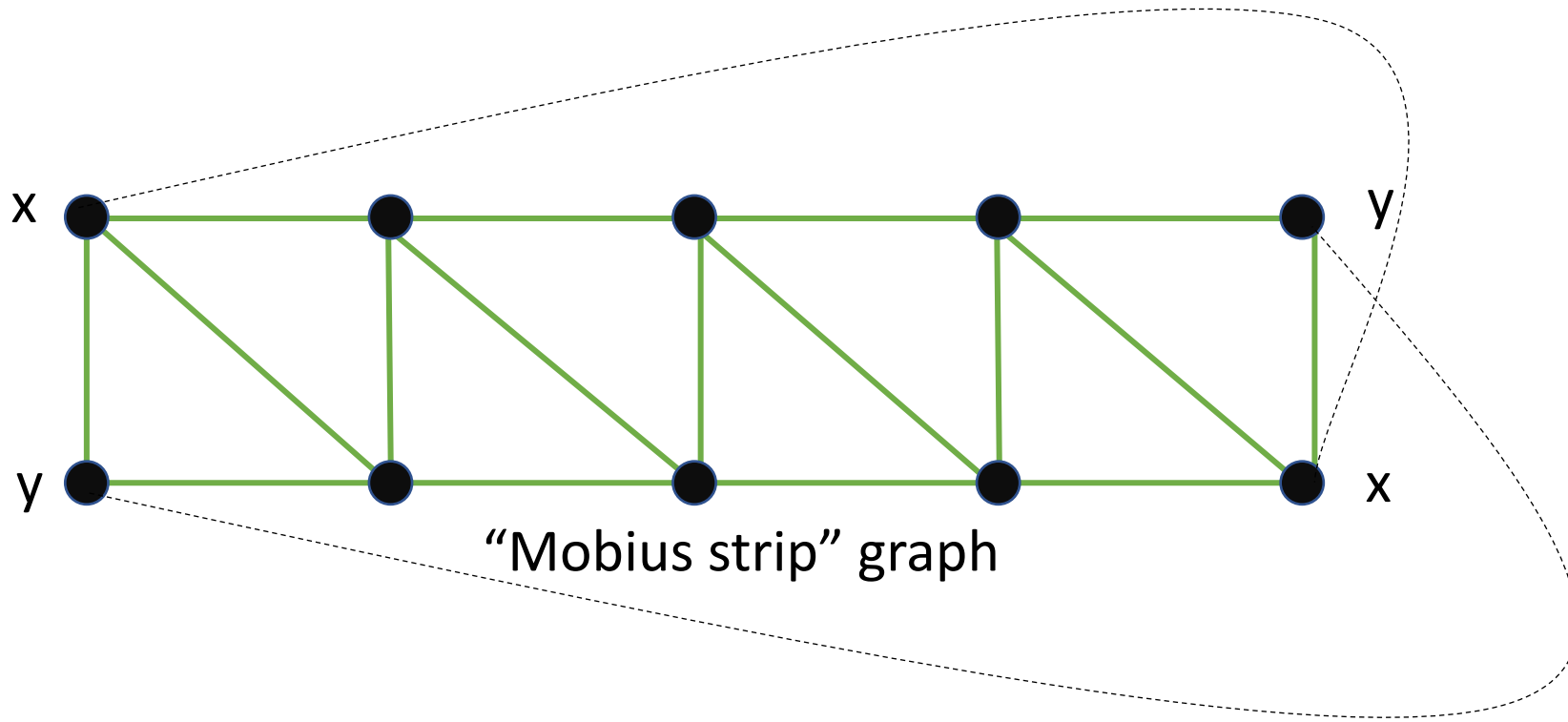
Assume Max-3COL had a  $1 - \epsilon$  approximation algorithm  $A$ .

We construct a polytime algorithm for 3-COLORABILITY (thus  $P=NP$ , a contradiction).

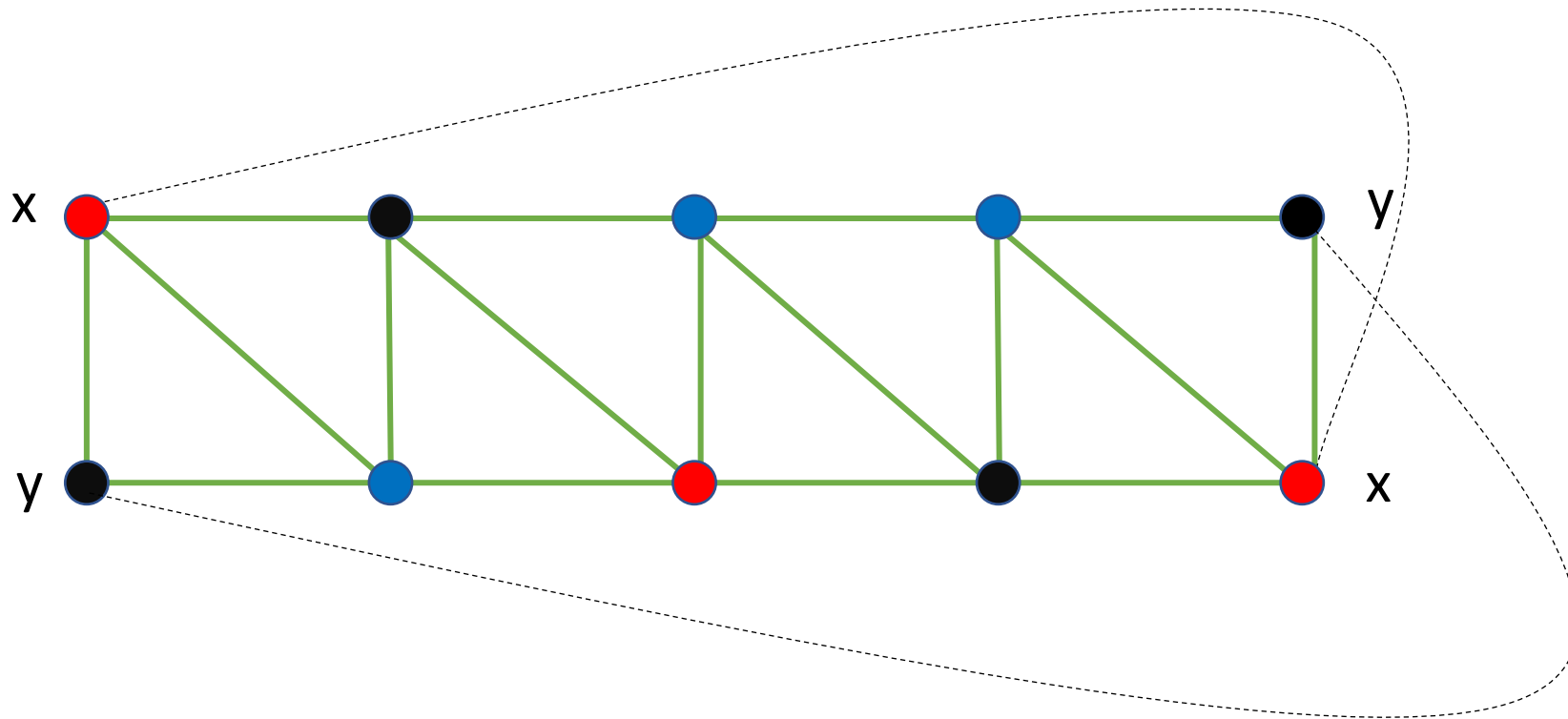
Given a graph  $G$ , run the algorithm  $R$  above to get  $H$ , and then run  $A$  on  $H$ .

If  $A(R(G)) = A(H) \geq 1 - \epsilon$ , say YES, otherwise say NO.

Graphs don't typically have a 3-COLORABILITY gap

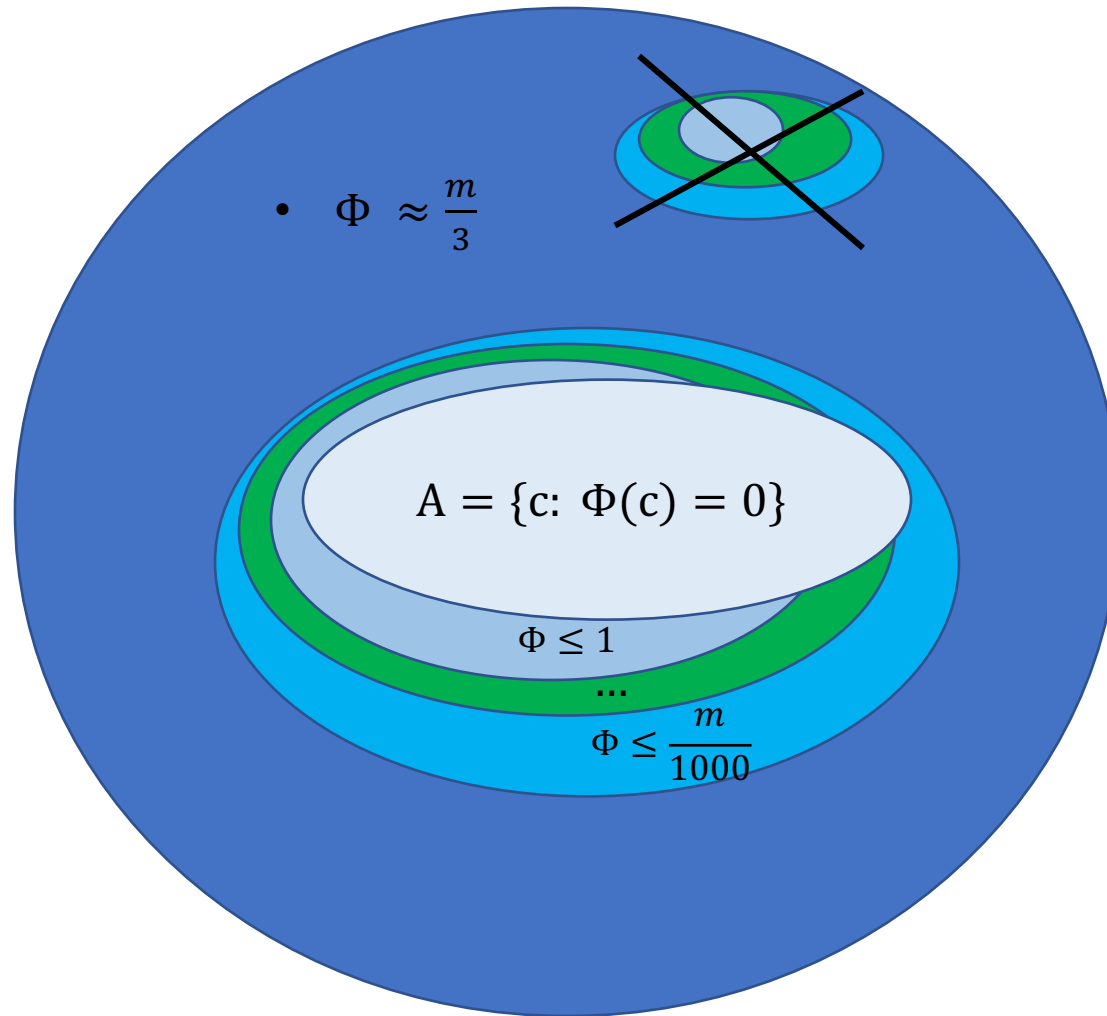


# Graphs don't typically have a 3-COLORABILITY gap



G is almost 3 colorable: can color vertices so that only one edge fails

# Landscape of a robust graph



Let  $G$  be a graph. For each  $c \in \{1,2,3\}^n$

Denote  $\Phi(c) =$

number of edges failing on coloring  $c$ .

Let  $A \subseteq \{1,2,3\}^n$  be defined by

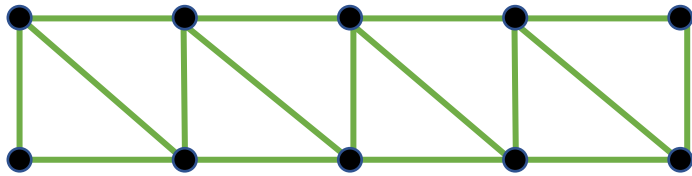
$$A = \Phi^{-1}(0)$$

$\{1,2,3\}^n$

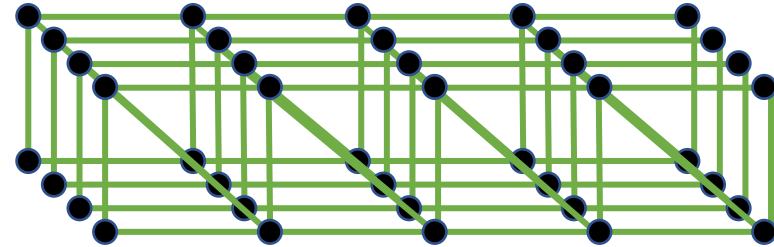
Robust graph: Every nearly-satisfying 3-coloring is close to a perfect 3-coloring



# PCP as a robustification algorithm



$G \rightarrow H$



- Approach: Embed  $G$  in robust graph  $H$
- PCP theorem: There is an algorithm for transforming  $G$  to  $H$  s.t.
  - $G$  is 3-colorable iff  $H$  is 3-colorable
  - $H$  is  $c$ -robust
- In particular: if  $G$  isn't 3-colorable, then every 3-coloring for  $H$  violates  $c$  fraction of edges

# How easily can proofs be checked?



**The Riemann Hypothesis  
is true (12<sup>th</sup> Revision)**

**By**

**Ayror Sappen**

**# Pages to follow:  
15783**