

How easily can proofs be checked?



The Riemann Hypothesis
is true (12th Revision)

By

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Pages to follow:
15783

Slide borrowed from Madhu Sudan

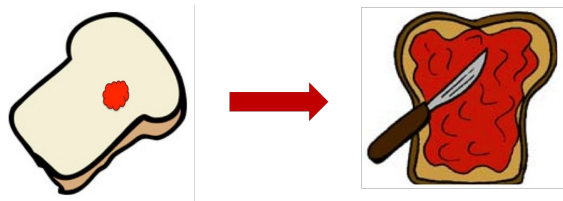
PCP Thm as hardness of approximation

\exists Alg computing H from G s.t.

- If G is 3-colorable $\Rightarrow H$ is 3-colorable

- If G is **NOT** 3-colorable \Rightarrow

H is **Really NOT** 3-colorable: $\text{unsat}(H) > \epsilon$



PCP Thm as a robust local proof checking:

Every $L \in NP$ has a verifier s.t.

Given $x \stackrel{?}{\in} L$ and a witness π , the verifier reads x , tosses $O(\log|x|)$ random coins, reads $\leq q = O(1)$ bits from π , and accepts/rejects

- If $x \in L \Rightarrow \exists \pi$ s.t. $\text{Prob}_r [\text{Ver}^\pi(x,r) \text{ accepts}] = 1$

- If $x \notin L \Rightarrow \forall \pi$ s.t. $\text{Prob}_r [\text{Ver}^\pi(x,r) \text{ accepts}] < 1 - \epsilon$

Context :

- PCPs originate in crypto 1980's -
- FGLSS, ALMSS '90-'91 : connection to inapprox
- Theory of inapprox :
 - phase 1: basic inapprox
 - phase 2: tight inapprox
 - phase 3: UGC & SDP
- Modern Research

crypto & practical motivation

verified computation
zero knowledge PCPs
LOPPs (add interaction)
succinct proofs

High dim expansion

local testability
↔
cosystolic expansion
related to:
LTCS
quantum LDPCs
&
agreement tests

Basic PCP thm by gap amplification

Thm: $\exists \varepsilon_0 > 0$, poly time alg that takes G to H

- $|H| = \text{poly}(G)$

- $G \text{ 3-col} \rightarrow H \text{ 3-col}$

- $G \text{ not 3-col} \rightarrow \text{unsat}(H) > \varepsilon$

$$G = G_0 \xrightarrow{\quad} G_1 \xrightarrow{\quad} \dots \xrightarrow{\quad} H$$

$G_i \xrightarrow{\quad} G_{i+1}$: $|G_{i+1}| = O(|G_i|)$

$$G_i \text{ 3-col} \rightarrow G_{i+1} \text{ 3-col}$$

$$G_i \text{ not 3-col} \rightarrow \text{unsat}(G_{i+1}) > 2 \cdot \text{unsat}(G_i)$$

$$\log |G| \text{ iterations} \rightarrow |H| = \text{poly}(|G|)$$

$$G \text{ 3-col} \rightarrow H \text{ 3-col}$$

$$G \text{ not 3-col} \rightarrow \text{unsat}(H) \geq \Omega(\varepsilon)$$

□

Main step

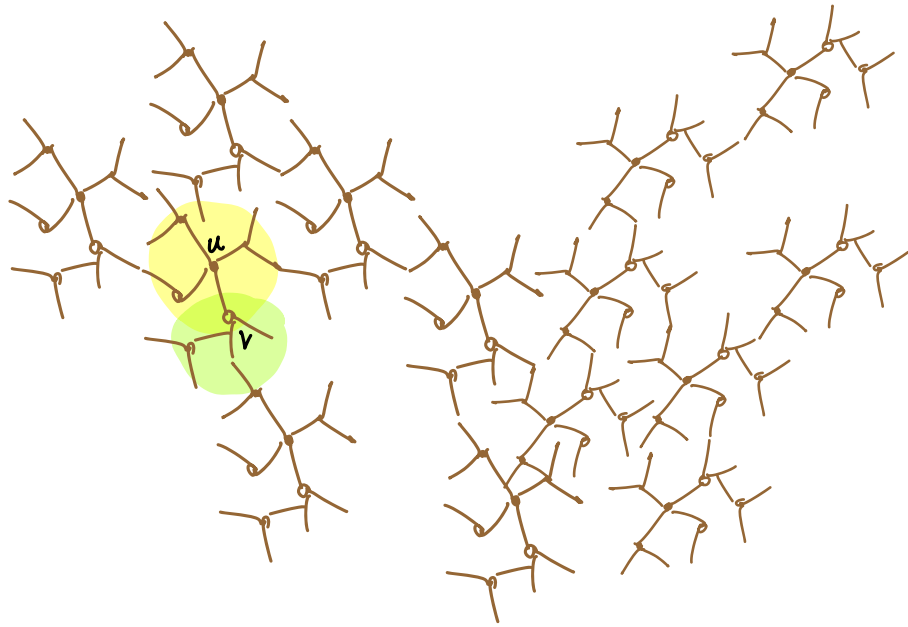
$$G \xrightarrow{\text{balls}} BG \rightarrow G'$$

① gap-amplification / powering by balls
 $\text{unsat}(BG) > t \cdot \text{unsat}(G)$

② to-3COL :

$$\text{unsat}(G') \approx \text{unsat}(BG)$$

$G \rightarrow BG$ "Balls of G "



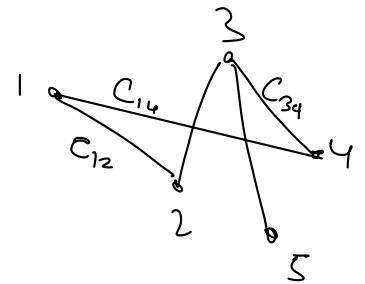
Label-Cover :

Def. a constraint graph is a tuple $G = (V, E, C, \Sigma)$

(V, E) is a graph and $\forall uv \in E \quad C_{uv} \subseteq \Sigma \times \Sigma$

$$\text{sat}(G) = \max_{\sigma: V \rightarrow \Sigma} \text{Prob}[(\sigma(u), \sigma(v)) \in C_{uv}]$$

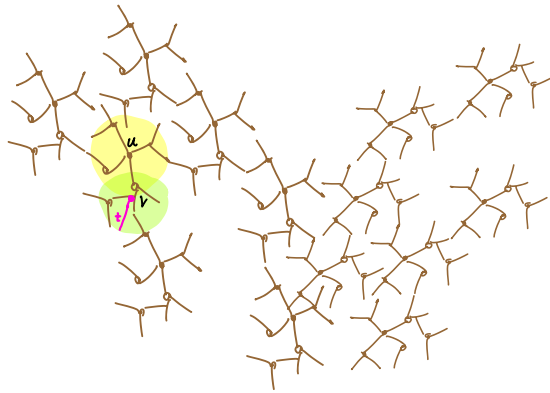
$$\text{unsat}(G) := 1 - \text{sat}(G)$$



The label cover problem:

find a labelling $\sigma: V \rightarrow \Sigma$ maximizing

$G \rightarrow BG$



3-coloring



label-cover ($\epsilon = \exp(-t)$)

1 vs $1 - \epsilon$



1 vs $1 - t \cdot \epsilon$



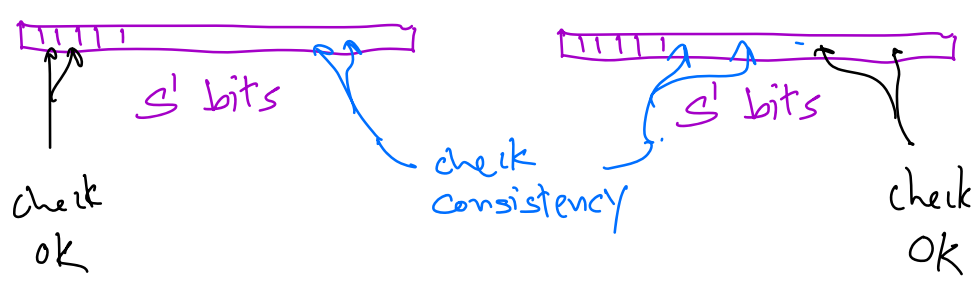
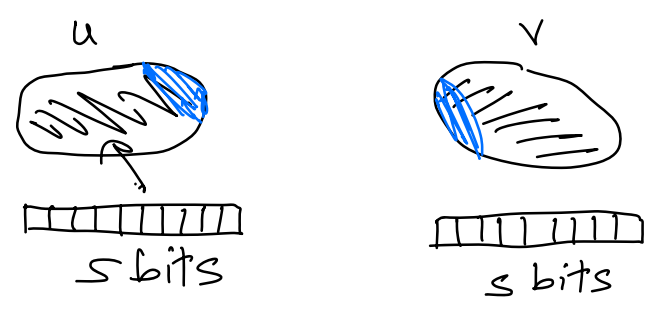
agreement testing

to binary : G constraint graph over large Σ



G' constraint graph over $\{0,1\}$
 \approx same unsat value $\left(\begin{array}{l} \text{sat}(G) = 1 \rightarrow \text{sat}(G') = 1 \\ \text{unsat}(G') = \sqrt{2}(\text{unsat}(G)) \end{array} \right)$

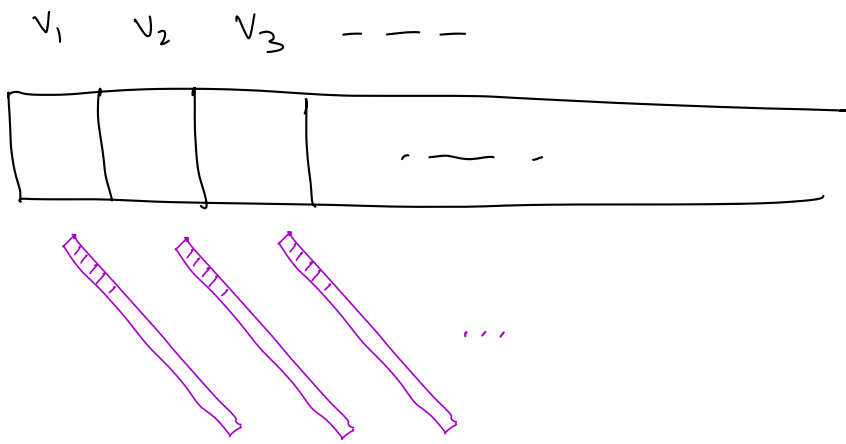
$\Sigma = \{0,1\}^s$



PCP encodings

[new bit \forall quadratic function of old bits
 # newbits = 2^{s^2}]

- 1 values are OK
- 2 values are CONS



← the new proof
is over $\{0,1\}$ -vars.
but, the verifier
queries $O(i)$ locations.



convert to constraint graph :

U = vertex for each proof bit

V = vertex \forall possible query pattern (how many?)

For the rest of the notes, please see:

<https://cs.nyu.edu/~khot/PCP-Spring20.html>

using gadgets

inapprox for 3-COLORING \Rightarrow

inapprox for 3SAT

max-cut

vertex cover

clique

ind. set

"gap-preserving" reduction

Q: Given a 3SAT formula
what's the best algorithm for
satisfying maximal # clauses?

Håstad's 3-Bit PCP

Theorem NP has PCP verifier that

- uses $O(\log n)$ random bits
- 3 queries, linear predicate (over \mathbb{F}_2)
- $x \in L \Rightarrow \exists \pi \Pr[\text{Accept}] \geq 1 - \epsilon$
- $x \notin L \Rightarrow \forall \pi \Pr[\text{Accept}] \leq \frac{1}{2} + \epsilon$

≡

Theorem Given 3-Lin instance S

$$\begin{array}{c} \vdots \\ x \oplus y \oplus z = 0 \end{array}$$

$$x \oplus w \oplus u = 1$$

It is NP-hard to distinguish betⁿ

$$\text{(YES)} \quad \text{OPT}(S) \geq 1 - \epsilon$$

$$\text{(NO)} \quad \text{OPT}(S) \leq \frac{1}{2} + \epsilon.$$